**Who’s Minding the Store‐Regulating the Securities Industry?**

**Regulatory Acronym Assignment #1**

Student Name \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

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| CATEGORY  | **20**  | **15**  | **8**  | **1**  |
| **Required Elements**  | The document includes all required elements as well as additional information.  | The document includes all required elements.  | The document includes all but 1 of the required.  | Several required elements were missing.  |
| **Knowledge Gained**  | Student thoroughly understands the topic assigned.  | Student adequately understands the topic assigned.  | Student somewhat understands the topic assigned.  | Student does not appear to understand the purpose of the assignment.  |
| **Attractiveness**  | The document is exceptionally attractive in terms of design, layout, and neatness.  | The document is attractive in terms of design, layout and neatness.  | The document is acceptably attractive though it may be a bit messy.  | The document is distractingly messy or very poorly designed. It is not attractive.  |
| **Mechanics**  | Capitalization and punctuation are correct throughout the document.  | There is 1 error in capitalization or punctuation.  | There are 2 errors in capitalization or punctuation.  | There are more than 2 errors in capitalization or punctuation.  |
| **Grammar**  | There are no grammatical mistakes on the document.  | There is 1 grammatical mistake on the document.  | There are 2 grammatical mistakes on the document.  | There are more than 2 grammatical mistakes on the document.  |

**Maximum Points Possible: 100**

**Student Points:**